

UPCOMING CHANGE

Elevator Inspections and Tests, Report Filings and Fees, Civil Penalties and Waivers

1 RCNY 101-03 (effective 8-14-10) establishes the following new elevator filing fees:

- Category 3 and 5 \$40
- Affirmation of Correction* \$40
- *Category 1 and PVT affirmations of correction must be filed separately.
- Waiver of Penalties \$35

1 RCNY 103-02 (effective 8-14-10) implements Article 304 of Title 28 of the New York City Administrative Code by specifying the periodic elevator inspection and testing requirements and the processes through which the department will regulate the filings of elevator inspection and test reports and affirmations of correction and issue penalties and waivers for failure to file and/or late and untimely filing.

The Fiscal Unit on the 6th floor will process the following forms and filing fees:

- ELV-3 and ELV-3A Inspection Reports for Category 1, 3 & 5
- ELV29 Affirmation of Corrections for Category 1 and PVT violations

Waiver of penalty payments will only be collected if a waiver request is denied.

The Elevator Division on the 4th floor will only process penalty payments. An Elevator Cashier Civil Penalty Form will be available to submit applicable civil penalties as follows:

<u>Failure to file</u>	<i>1-2 residential unit buildings</i>	<i>Commercial, mixed-use or buildings with more than 2 residential units</i>
Inspection and Test Report	\$1,000 per elevator	Category 1: \$3,000 per elevator Category 3 or 5: \$5,000 per elevator
Affirmation of Correction		
<u>Late/untimely filing</u>	<i>1-2 residential unit buildings</i>	<i>Commercial, mixed-use or buildings with more than 2 residential units</i>
Inspection and Test Report	\$50 per month, maximum of \$600 per elevator	Category 1: \$150 per month, maximum of \$1,800 per elevator Category 3 or 5: \$250 per month, maximum of \$3,000 per elevator
Affirmation of Correction		

For further details, please see the rules mentioned above at
<http://www.nyc.gov/html/dob/html/reference/rules.shtml>